



Financial Adviser registration form

A Financial Adviser must complete this form to become a registered Financial Adviser with NGS Super. All Financial Advisers are required to be registered with a Dealer Group.

Send your completed form to:

NGS Super

GPO Box 4721

MELBOURNE VIC 3001

or send via email to advisersupport@ngssuper.com.au

Important: An NGS member's account will not be deducted for financial advice fees provided by Financial Advisers to that NGS member in relation to their NGS Super account(s) unless:

- the Dealer Group is registered with NGS Super (see Dealer Group registration form); and
- the Financial Adviser is registered with NGS Super as a Financial Adviser of the Dealer Group (via this form).

Step 1. Financial Adviser details

Please print in black or blue pen or complete electronically.

Title

Full name

Authorised Representative number

Company name (if applicable)

Principal place of business

Suburb

State

Postcode

Postal address (if different to above)

Suburb

State

Postcode

Email address

Phone number

Mobile number

Financial Adviser's Nominated Practice Representative(s)

Please list the people permitted to communicate with, and obtain information from, NGS Super on the Financial Adviser's behalf.

Full name(s):

1.
2.
3.
4.



Step 2. Dealer Group details

All fields must be completed.

Dealer Group name

Dealer Group ABN

AFSL number

Step 3. Sign the form

By signing this form, the Financial Adviser:

- Confirms that the Dealer Group in Step 2 has appointed the Financial Adviser as an authorised representative under the Dealer Group's Australian Financial Services Licence (AFSL) and is listed as an authorised representative on the Australian Securities and Investments Commission (ASIC) Authorised Representative Register.
- Agrees to use best endeavours to ensure the nominated Financial Adviser, and their Nominated Practice Representative(s) in Step 1, comply with:
 - the conditions of the Dealer Group's AFSL;
 - NGS Super's Terms of Trade, as amended from time to time;
 - applicable laws, regulatory policies and best industry practices relevant to the Financial Adviser; and
 - any reasonable request for information made by, or on behalf of, NGS Super.
- Agrees to notify NGS Super as soon as possible, and within the time required by law, if the Financial Adviser:
 - is no longer registered as a financial adviser with ASIC;
 - is no longer an authorised representative of the Dealer Group;
 - becomes subject to any claim, legal action or investigation by the Dealer Group or ASIC; or
 - breaches any relevant law, regulatory policy or best industry practices if the breach is in any way material to NGS Super.
- Agrees to indemnify and hold harmless NGS Super and its representatives from any loss or liability that may arise from the actions or omissions of the Financial Adviser and the Nominated Practice Representative(s).
- Understands that NGS Super may validate or confirm the information provided in this form and perform anti-money laundering and counter terrorism financing checks.
- Consents for the Financial Adviser's personal information to be collected, disclosed and used as described in the **Privacy Statement**.
- Confirms that the details on this form are true and correct.

Signature

X

Date

Full name

Privacy Collection Statement

NGS Super Pty Limited ABN 46 003 491 487 collects personal information from you (or from third parties such as your employer or another super fund) to manage your NGS Super account, keep you informed, improve our products and services or take action on a matter you have contacted us about. If we don't have your personal information, we may not be able to perform these services. We may be authorised to collect your personal information by certain laws, including laws relating to superannuation, taxation and anti-money laundering/counter-terrorism financing.

We disclose personal information as required to manage the Fund, to service providers (including our administrator, our insurer and professional advisers), employers or parties required by law. Personal information may be accessed by service providers overseas. For offshore locations, details of how to access and change your personal information and the privacy complaints process, go to ngssuper.com.au/pcs and ngssuper.com.au/privacy or call us on **1300 133 177**.